



PATENT

Case Docket No. ENDIUS.027CPC1

Date: November 6, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Gene P. DiPoto
Appl. No.	:	10/686,063
Filed	:	October 15, 2003
For	:	CANNULA FOR RECEIVING SURGICAL INSTRUMENTS
Examiner	:	Unknown
Group Art Unit	:	Unknown

TRANSMITTAL LETTER


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement;
- (X) A PTO Form 1449 with eighty (80) references, twenty (20) of which are enclosed; and
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.


Andrew M. Douglas
Registration No. 51,212
Attorney of Record
Customer No. 20,995
(949) 760-0404



INFORMATION DISCLOSURE STATEMENT

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P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 80 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of all other references, if listed, are enclosed.

This Information Disclosure Statement is being filed within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 6, 2003

By: Andrew M. Douglas
Andrew M. Douglas
Registration No. 51,212
Attorney of Record
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(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
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(SEE SEVERAL SHEETS IF NECESSARY)

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10/15/03GROUP
Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	US 1.170.324	2/1/16	Pomerene			
	2	US 3.044.461	7/17/62	Murdock			
	3	US 3.503.398	3/31/70	Fogarty et al.			
	4	US 3.789.852	2/5/74	Kim et al.			
	5	US 4.449.532	5/22/84	Storz			
	6	US 4.451.256	5/29/84	Weikl et al.			
	7	US 4.545.374	10/8/85	Jacobson			
	8	US 4.601.713	7/22/86	Fuqua			
	9	US 4.716.901	1/5/88	Jackson et al.			
	10	US 4.863.133	9/5/89	Bonnell			
	11	US 4.899.729	2/13/90	Gill et al.			
	12	US 4.921.478	5/1/90	Solano et al.			
	13	US 4.984.564	1/15/91	Yuen			
	14	US 5.139.511	8/18/92	Gill et al.			
	15	US 5.163.949	11/17/92	Bonutti			
	16	US 5.190.561	3/2/93	Graber			
	17	US 5.196.023	3/23/93	Martin			
	18	US 5.197.971	3/30/93	Bonutti			
	19	US 5.295.994	3/22/94	Bonutti			
	20	US 5.312.417	5/17/94	Wilk			
	21	US 5.313.962	5/24/94	Obenchain			
	22	US 5.354.302	10/11/94	Ko			
	23	US 5.370.647	12/6/94	Graber et al.			
	24	US 5.370.659	12/6/94	Sakashita			
	25	US 5.417.203	5/23/95	Tovey et al.			
	26	US 5.443.479	8/22/95	Bressi, Jr.			
	27	US 5.439.464	8/8/95	Shapiro			
	28	US 5.454.365	10/3/95	Bonutti			

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



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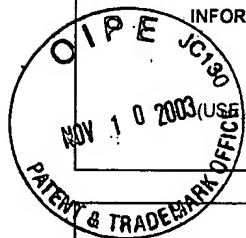
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	29	US 5.490.819	2/13/96	Nicholas et al.			
	30	US 5.529.571	6/25/96	Daniel			
	31	US 5.601.590	2/11/97	Bonutti et al.			
	32	US 5.667.520	9/16/97	Bonutti			
	33	US 5.690.606	11/25/97	Slotman			
	34	US 5.707.359	1/13/98	Bufalini			
	35	US 5,762,629	6/9/98	Kambin			
	36	US 5.782.919	7/21/98	Zdeblick et al.			
	37	US 5.792.044	8/11/98	Foley et al.			
	38	US 5.795.289	8/18/98	Wytttenbach			
	39	US 5.827.319	10/27/98	Carlson et al.			
	40	US 5.851.214	12/22/98	Larsen et al.			
	41	US 5.902.231	5/11/99	Foley et al.			
	42	US 5.954.635	9/21/99	Foley et al.			
	43	US 5.961.499	10/5/99	Bonutti et al.			
	44	US 5.976.161	11/2/99	Kirsch et al.			
	45	US 5.997.508	12/7/99	Lunn et al.			
	46	US 6.120.437	9/19/00	Yoon et al.			
	47	US 6.126.671	10/3/00	Richards et al.			
	48	US 6,152,871	11/28/00	Foley et al.			
	49	US 6.162.236	12/19/00	Osada			
	50	US 6.171.299 B1	1/9/01	Bonutti			
	51	US 6.187.000	2/13/01	Davison et al.			
	52	US 6.312.443 B1	11/6/01	Stone			
	53	US 6.338.730 B1	1/15/02	Bonutti et al.			
	54	US 6.371.968 B1	4/16/02	Kogasaka et al.			
	55	US 6.383.195 B1	5/7/02	Richard			
	56	US 6.494.893 B2	12/17/02	Dubrul et al.			

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	57	US 6.524.320	2/25/03	DiPoto			
	58	US 2002/0002360 A1	1/3/02	Orth et al.			
	59	US 2003/0009130 A1	1/9/03	Stecker et al.			
	60	US 2003/0014068 A1	1/16/03	Bonutti et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	61	EP 0807415 A2	19/11/97	EPO				
	62	WO 95/22285	8/24/95	PCT	A61B	10/00		X
	63	WO 01/54560 A2	2/8/01	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	64	Ditsworth, David A., M.D., <i>Surg Neurol</i> , 49: 588-598, 1998 "Endoscopic Transforaminal Lumbar Discectomy and Reconfiguration: A Postero-lateral Approach into the Spinal Canal"
	65	Endius Marketing Bulletin, 2002, Atavi Atraumatic Spine Fusion System "How do I decompress using Atavi System?"
	66	Endius Marketing Bulletin, 2002, Atavi Atraumatic Spine Fusion System "Minimally Invasive Update on Danek"
	67	Foley, Kevin T., M.D., Gupta, Sanjay K., M.D., Justis, Jeff R., B.S., Sherman, Michael C., M.S., <i>Neurosurg Focus</i> , 10: 1-8, 2001 "Percutaneous pedicle screw fixation of the lumbar spine"
	68	Guiot, Bernard H., M.D., Khoo, Larry T., M.D., Fessler, Richard G., M.D., Ph.D., <i>SPINE</i> , 27, 4: 432-438, 2002 "A Minimally Invasive Technique for Decompression of the Lumbar Spine"
	69	Kambin, Parviz, Publisher Unknown, Chapter 77, 1055-1066, Date Unknown "Arthroscopic Lumbar Interbody Fusion"
	70	Kambin, Parviz, <i>The Adult Spine: Principles and Practice</i> , 2 nd edition; Lippincott-Raven, 2037-2046, 1997 "Arthroscopic Lumbar Intervertebral Fusion"
	71	Kambin, Parviz, <i>The Mount Sinai Journal of Medicine</i> , 58, 2: 159-164, 1991 "Arthroscopic Microdiscectomy"
	72	Kambin, Parviz, <i>Operative Arthroscopy</i> , Second Edition, 89:1215-1225, 1996 "Arthroscopic Techniques for Spinal Surgery"

EXAMINER

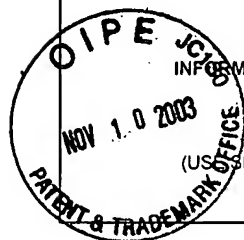
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
73	Kambin, Parviz, <i>Neurosurgery Clinics of North America</i> , 7(1): 65-76, 1996 "Diagnostic and Therapeutic Spinal Arthroscopy"
74	Kambin, Parviz, Publisher Unknown, Chapter 9:117-121, Date Unknown "Posterolateral Percutaneous Lumbar Interbody Fusion"
75	Kambin, Parviz, <i>Advances in Operative Orthopaedics</i> , 3:147-171, 1995 "The Role of Minimally Invasive Surgery in Spinal Disorders"
76	Medtronic Sofamor Danek, <i>METRx MicroEndoscopic Discectomy</i> , 1999 "An Evolution in Minimally Invasive Spine Surgery"
77	Medtronic Sofamor Danek, <i>METRx MicroDiscectomy System</i> , 2000 "The Next Step in Minimally Invasive Discectomy Utilizing The Operating Microscope"
78	Medtronic Sofamor Danek, <i>METRx Microdiscectomy Surgical Technique</i> , 2001 as described by: Donald L. Hilton, Jr., M.D., F.A.C.S. and Sylvain Palmer, M.D., F.A.C.S.
79	Medtronic Sofamor Danek, <i>Orthopedics Today</i> , 1-20, 2002 "Minimal Access Spinal Technologies"
80	Stauber, Martin H., M.D., Bassett, George S., M.D., <i>SPINE</i> , 19, 1: 57-61, 1994 "Pedicule Screw Placement With Intraosseous Endoscopy"

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